



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	09/422,202
Filing Date	October 19, 1999
First Named Inventor	Bennett, James
Group Art Unit	2135
Examiner Name	Song, Hosuk

Sheet 1 of 10

Attorney Docket No: 7962.006US1

US PATENT DOCUMENTS

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Examiner Name	Song, Hosuk

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Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate
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Substitute Disclosure Statement Form (PTO-1449)

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		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Final Office Action mailed 03-14-05", 9 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Final Office Action mailed 04-27-05", 14 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 01-22-03", 7 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 02-02-06", 4 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 03-08-02", 7 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 04-20-01", 10 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 10-01-03", 8 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Non-Final Office Action mailed 11-06-00", 17 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Notice of Allowance mailed 02-22-07", 7 pgs.	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Notice of Allowance mailed 12-20-99", 5 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Preliminary Amendment mailed 06-14-99", 6 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 02-06-01 to Non-Final Office Action mailed 11-06-00", 3 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 03-30-04 to Non-Final Office Action mailed 10-01-03", 14 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 05-25-05 to Supplemental Final Office Action mailed 04-27-05", 11 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 07-21-03 to Non-Final Office Action mailed 01-22-03", 5 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 09-06-02 to Non-Final Office Action mailed 03-08-02", 3 pgs	
		"Application Serial No. 09/332,317 (Atty Ref 7962.005US5), Response filed 10-17-01 to Non-Final Office Action mailed 01-03-02", 7 pgs	
		"Application Serial No. 09/345,910 (Atty Ref 7962.003US4), Notice of Allowance mailed 09-07-99", 6 pgs	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional) ‡ Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/422,202
Filing Date	October 19, 1999
First Named Inventor	Bennett, James
Group Art Unit	2135
Examiner Name	Song, Hosuk

Sheet 8 of 10

Attorney Docket No: 7962.006US1

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Application Serial No. 09/345,910 (Atty Ref 7962.003US4), Preliminary Amendment filed 08-30-99", 2 pgs	
		"Application Serial No. 09/498,192 (Atty Ref 7962.004US4), Notice of Allowance mailed 04-24-01", 4 pgs	
		"Application Serial No. 09/498,192 (Atty Ref 7962.004US4), Preliminary Amendment filed 02-03-00", 10 pgs	
		"Application Serial No. 09/500,559 (Atty Ref 7962.003US5), Non-Final Office Action mailed 09-05-01", 8 pgs	
		"Application Serial No. 09/808,722 (Atty Ref 7962.008US1), Non-Final Office Action mailed 01-29-07", 7 pgs.	
		"Application Serial No. 09/808,723 (Atty Ref 7962.007US1), Non-Final Office Action mailed 01-29-07", 7 pgs.	
		"Application Serial No. 09/837,752 (Atty. Ref. 7962.002US4) Notice of Allowance mailed 01-02-08", 6 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Amendment and Response filed 12-04-06 to Final Office Action mailed 09-25-06", 3 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 06-25-04", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 09-25-06", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Final Office Action mailed 11-02-05", 10 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 05-20-05", 6 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 09-24-03", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Non-Final Office Action mailed 12-18-02", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 01-10-07", 4 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 03-31-06", 4 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Notice of Allowance mailed 06-18-07", 5 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Preliminary Amendment filed 04-17-01", 5 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 03-23-04 to Non-Final Office Action mailed 01-16-04", 7 pgs	
		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 06-17-03 to Non-Final Office Action mailed 12-18-02", 6 pgs	

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		"Application Serial No. 09/837,752 (Atty Ref 7962.002US4), Response filed 08-22-05 to Non Final Office Action mailed 05-20-05", 8 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Amendment filed 05-17-02", 6 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Non-Final Office Action mailed 02-26-02", 4 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Notice of Allowance mailed 05-14-02", 4 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Notice of Allowance mailed 05-22-02", 2 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Preliminary Amendment mailed 08-27-01", 11 pgs	
		"Application Serial No. 09/940,775 (Atty Ref 7962.004US5), Response Filed 03-19-02 to Non-Final Office Action mailed 02-26-02", 3 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Notice of Allowance mailed 12-31-07", 6 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Preliminary Amendment filed 07-20-07", 3 pgs	
		"Application Serial No. 11/559,216 (Atty Ref 7962.002US5), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,233 (Atty Ref 7962.003US6), Preliminary Amendment mailed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Non-Final Office Action mailed 01-02-2008", OARN, 6 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Preliminary Amendment filed 07-20-07", 4 pgs	
		"Application Serial No. 11/559,236 (Atty Ref 7962.002US6), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,246 (Atty Ref 7962.003US7), Preliminary Amendment 11-13-06", 5 pgs.	
		"Application Serial No. 11/559,251 (Atty Ref 7962.004US6), Preliminary Amendment filed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,264 (Atty Ref 7962.004US7), Preliminary Amendment filed 11-13-06", 5 pgs	
		"Application Serial No. 11/559,283 (Atty Ref 7962.005US6), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,297 (Atty Ref 7962.006US3), Preliminary Amendment filed 02-20-07", 3 pgs	
		"Application Serial No. 11/559,297 (Atty Ref 7962.006US3), Preliminary Amendment filed 11-13-06", 3 pgs	

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Examiner Name	Song, Hosuk

Sheet 10 of 10

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		"Application Serial No. 11/559,299 (Atty Ref 7962.005US7), Preliminary Amendment filed 11-13-06", 4 pgs	
		"Application Serial No. 11/559,312 (Atty Ref 7962.006US2), Preliminary Amendment filed 02-20-07", 4 pgs	
		"Application Serial No. 11/559,312 (Atty Ref 7962.006US2), Preliminary Amendment filed 11-13-06", 3 pgs	
		"Application Serial No. 11/779,771 (Atty Ref 7962.005US8), Preliminary Amendment filed 07-18-07", 4 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Non-Final Office Action mailed 01-07-99", 10 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Notice of Allowance mailed 06-03-99", 4 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Preliminary Amendment filed 08-31-98", 5 pgs	
		"Application Serial No. 9/144,591 (Atty Ref 7962.003US3), Response filed 04-07-99 to Non-Final Office Action mailed 01-07-99", 7 pgs	
		"Court speeds justice by putting records and court papers on-line", <u>PC Week</u> , 5, Obtained from Dialog, Accession No. 03083868, (Mar. 29, 1988), 3 p.	
		"Discovery Products Announces DiscoveryRealtime Compatibility With DiscoveryPro Software", <u>Business Wire</u> , (March 26, 1996), 1 pg.	
		"International Application Serial No. PCT/US94/03218 (Atty Ref 7962.001WO1), International Search Report mailed 09-14-94", 5 pgs	
		"Legal Tech' 88 Visitors View Automated Support Systems", <u>Government Computer News</u> , 7, (Apr. 1, 1988), 1 p.	
		"Menu Selection for Realtime Discovery in XCIC", Exhibit to Declaration of <u>Brent Sandstrom, Engate, Inc. v. Esquire Deposition Services, LLC (USDC, ND of Ill)</u> , [page from Ref. i.d. # 237734 -- no need to cite separately], (prior to October 31, 2003), 1 pg.	
		CUSACK, S. , "Reading with electronic eyes", <u>Computerworld</u> , (Oct. 1, 1990), p. 41	
		RABINER, L. , et al., <u>In: Fundamentals of Speech Recognition</u> , Prentice Hall PTR , Englewood Cliff, NJ, (1993), pages 1, 478-479	

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